

OIR-E
SEP 03 2003
PATENTS & TRADEMARKS
U.S. DEPARTMENT OF COMMERCE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: INOUE, et al.

Serial No.: 08/921,250

Group Art Unit: 1763

Filed: August 29, 1997

Examiner: George A. Goudreau

P.T.O. Confirmation No.: 9314

FOR: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE
LIQUID USED THEREIN

**SECOND REQUEST FOR STATUS
OF FILED PAPERS AND PENDING CLAIMS**

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Date: September 3, 2003

MATCH & RETURN

This is a second request for the status regarding, (1) Information Disclosure Statements filed April 23, 2001, and June 16, 2003, and (2) the pending claims.

In regard to (1), a Request for Return of Form PTO-1449's was filed August 14, 2003, requesting initialed forms PTO-1449 for Information Disclosure Statements filed April 23, 2001 and June 16, 2003. A copy of this paper is attached.

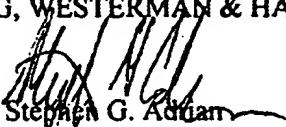
In regard to (2), a letter was filed August 14, 2003 requesting the correct identification of the allowed and pending claims. A copy of this paper is attached.

Application S.N. 08/921,250
Second Request for Status of Filed Papers and Pending Claims

In the event any fees are required in connection with this paper, please charge Deposit
Account No. 01-2340.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adam
Attorney for Applicant
Reg. No. 32,878

SGA/rer
Atty. Docket No. 970813
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



23850

PATENT TRADEMARK OFFICE

Attachments: Letter dated August 14, 2003
Request for Return of Form PTO-1449's (w/attachments)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SEP 3 2003

In re the Application of:

Date Allowed: June 3, 2003

INOUE, et al.

Group Art Unit: 1763

Serial Number: 08/921,250

Examiner: GOUDREAU

Filed: August 29, 1997

P.T.O. Confirmation No.: 9314

FOR: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE
LIQUID USED THEREIN

REQUEST FOR RETURN OF FORM PTO-1449's

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

August 14, 2003

Sir:

Information Disclosure Statements were filed on April 23, 2001 and June 16, 2003. A copy of each of these Information Disclosure Statements is attached.

It is respectfully requested that the Examiner return a copy of the Forms PTO-1449 which accompanies each of the Information Disclosure Statement, marked to indicate that the references listed therein have been considered by the Office.

The Examiner is requested to telephone the undersigned should he have any questions concerning this Request.

Respectfully submitted,

ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adrian
Attorney for Applicant
Reg. No. 32,878

SGA/arf
Atty. Docket No. 970813
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

Enclosure: Information Disclosure Statements filed April 23, 2001 and June 16, 2003
Date Stamped Post Card (copy)



CARD NO: 02456

U.S. Patent Application Docket No: 970813
Serial No: 08/921,250 Filed: 08/29/97
Patent Number: Issued:
Applicant(s): INOUE, et al.

Papers filed herewith on: 06/16/03

Fees: \$ 180.00

Other: IDS: PTO-1449 w/ 5 References



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

SGA/ARP



CARD NO: 17128

U.S. Patent Application Docket No: 970813
Serial No: 08/921,250 Filed: 08/29/97
Patent Number: Issued:
Applicant(s): INOUE, ET AL

Papers filed herewith on: 04/23/01

Fees: \$ 180.00

Other: Information Disclosure Statement w/4 references;
Office Action; PTO-1449



COMMISSIONER OF PATENTS

Receipt is hereby acknowledged of the papers filed as indicated
in connection with the above-identified case.

SGA/ARP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: INOUE, et al. SEP 03 2003

Serial No.: 08/921,250

Group Art Unit: 1763

Filed: August 29, 1997

Examiner: GOUDREAU

FOR: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE
LIQUID USED THEREIN

P.T.O. Confirmation No.: 9314

LETTER

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Date: August 14, 2003

Sir:

Applicants received a Notice of Allowance dated June 3, 2003. In the Notice of Allowability, the allowed claims are indicated as "3-14, 16-20, 22-27, 31."

However, claims 5, 8 and 19 were previously amended and claims 10 and 18 were canceled in the Response filed September 5, 2002. As such, claims 3-9, 11-14, 16, 17, 19, 20, 22-27 and 31 are pending. The Patent Office is requested to correct the identification of the allowed claims.

In the event any fees are required in connection with this paper, please charge Deposit Account No. 01-2340.

Respectfully submitted,
ARMSTRONG, WESTERMAN & HATTORI, LLP


Stephen G. Adrian
Attorney for Applicant
Reg. No. 32,878

SGA/arf
Atty. Docket No. 970813
Suite 1000
1725 K Street, N.W.
Washington, D.C. 20006
(202) 659-2930



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PATENT TRADEMARK OFFICE

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents
Alexandria, Virginia 22313-1450
Fax (703)746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 4 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Legibly mark-up with any corrections or use Block 1)

23850 7390 06/03/2003

ARMSTRONG, WESTERMAN & HATTORI, LLP
1725 K STREET, NW
SUITE 1000
WASHINGTON, DC 20006

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Box Issue Fee address above, or being facsimile transmitted to the USPTO, on the date indicated below.

(Depositor's name)
(Signature)
(Date)



APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
08/921,250	08/29/1997	YASUNORI INOUE	970813	9314

TITLE OF INVENTION: FABRICATION METHOD OF SEMICONDUCTOR DEVICE AND ABRASIVE LIQUID USED THEREIN

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1300	\$0	\$1300	09/03/2003

EXAMINER	ART UNIT	CLASS-SUBCLASS
GOUDREAU, GEORGE A	1763	438-692000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.

"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. Armstrong, Westerman

2. G Hattori, LLP

3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

SANYO ELECTRIC CO., LTD.

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

MORIGUCHI-SHI, JAPAN

Please check the appropriate assignee category or categories (will not be printed on the patent) individual corporation or other private group entity government

4a. The following fee(s) are enclosed:

4b. Payment of Fee(s):

Issue Fee

A check in the amount of the fee(s) is enclosed.

Publication Fee

Payment by credit card. Form PTO-2038 is attached.

Advance Order - # of Copies 10

The Commissioner is hereby authorized to charge the required fee(s), or credit any overpayment, to Deposit Account Number 01-2340 (enclose an extra copy of this form).

Commissioner for Patents is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

(Authorizing Signature) (Date) 9/3/03

Stephan G. Adlman, Reg. #32,878

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Alexandria, Virginia 22313-1450.

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